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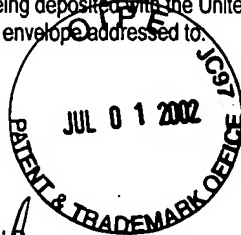
Assistant Commissioner for Patents,
Washington, D.C. 20231, on June 21, 2002

TOWNSEND and TOWNSEND and CREW LLP

By

Landon Clark

Attorney Docket No.: 089795-000000US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Glenn W. Baxter et al.

Serial No.: 10/084,489

Filed: February 28, 2002

For: Tunable Wavelength Multiplexer

Examiner: Unassigned

Art Unit: 2661

Batch No.:

LETTER TO OFFICIAL DRAFTSPERSON

Box Issue Fee

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The applicants submits 13 sheets of formal drawings to be made of record in the above-identified case.

Respectfully submitted,

Cathy E Cretsinger
Reg. No. P51,588

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200
Fax (415) 576-0300
CEC/llc
SF 1358725 v1

#3

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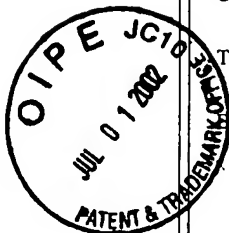
PATENT
Attorney Docket No.:089795-000000US

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

On June 21, 2002

TOWNSEND and TOWNSEND and CREW, LLP

By: London Clark



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CCPY OF PAPERS
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In re application of:

Glenn W. Baxter et al.

Application No.: 10/084,489

Filed: 02/28/02

For: Tunable Wavelength Multiplexer

Art Unit: 2661

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of Nonprovisional Application dated April 10, 2002, applicant submits herewith:

- 1) A fully executed Declaration
- 2) Power of Attorney or Authorization of Agent
- 3) Statement under 3.73(b)
- 4) Petition for Extension of Time Under 37 CFR 1.136(a)

Please charge the fees as set forth on attached Form PTO/SB/17 and any additional fees under 37 CFR 1.16 or 1.17 associated with this paper or otherwise required during the pendency of this application, and credit any overpayment to the Deposit Account No. 20-1430 of the undersigned.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,



Cathy E. Cretsinger
Reg. No. P51,588

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
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CEC/lc
SF 1358649 v1

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PTO/SB/17 (11/01)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Complete if Known	
	Application Number	10/084,489
	Filing Date	February 28, 2002
	First Named Inventor	Baxter, Glenn W.
	Examiner Name	Not Yet Assigned
Group Art Unit	2661	
Attorney Docket No	089795-000000US	
TOTAL AMOUNT OF PAYMENT (\$) 240		

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)			
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> MoneyOrder <input type="checkbox"/> Other <input type="checkbox"/> None					
<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP					
The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					
Total Claims	-20**	Extra Claims	Fee from below	Fee Paid	
Independent Claims	-3**		X		
Multiple Dependent			X		
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					
**or number previously paid, if greater; For Reissues, see above					
3. ADDITIONAL FEES					
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify):					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$240)

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Cathy E. Cretsinger	Registration No. (Attorney/Agent)	P51,588	Telephone	415-576-0200
Signature		Date	June 21, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1358873 v1



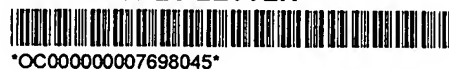
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/084,489	02/28/2002	Glenn Wayne Baxter	033445-004

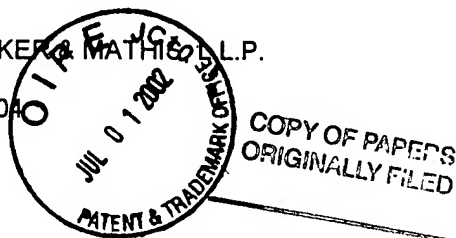
CONFIRMATION NO. 6666

FORMALITIES LETTER



OC000000007698045

Alan E. Kopecki, Esq.
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404



Date Mailed: 03/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/03/2002 MBERHE 00000083 201430 10084489

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